A UML Profile for Dynamic Execution Persistence with Monitoring Purposes

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1. Introduction and background

Context

- In order to **provide** increasingly **better services**, **best practice guides** and **standards** for information technology guide organizations to **follow a continuous process improvement**.

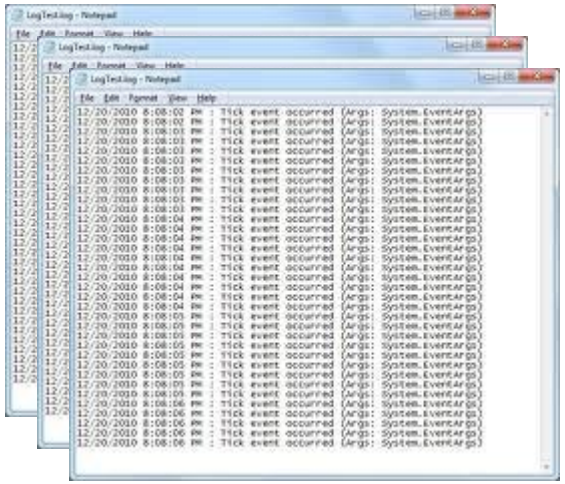
- **Organizations** must be **compliant** with **legislation**.

- **System monitoring** facilitates the trace of processes, and helps to achieve processes improvement.

Problems

System monitoring

Logs

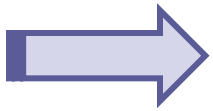


event

timestamp

performer

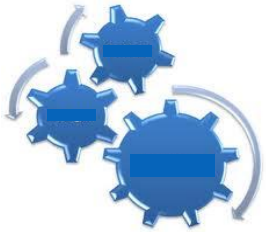
…



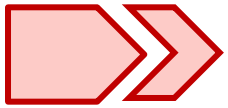
Complex Data mining processes

Our approach

- Defining **more complete persistence structures** that facilitate the subsequent processing of the system trace.
- **Our particular context**:



System behaviour

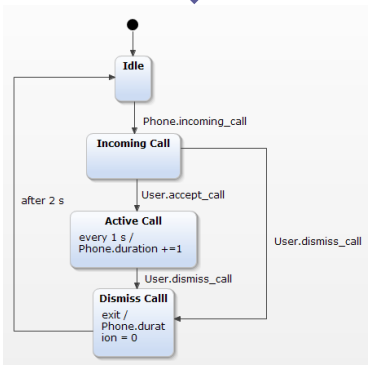


System trace data

- ✓ **Easing audit and process improvement**
- ✓ **Ensuring accuracy and consistency of data**
- ✓ **Guiding the transformation process of the storage structures**

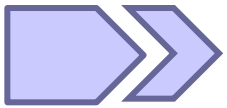
Represented

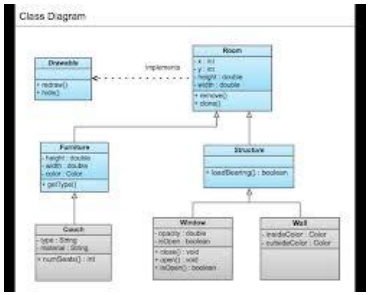
Represents



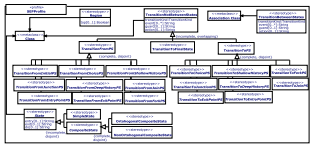
Statechart

Automatic generation





Class diagram



SEP Profile

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2. Development of the DFMS Framework

Characteristics

Decision Facts Management System (DFMS)

- Verifies clinical guidelines **against quality properties.**

- **Develops** guideline-based decision support systems **(GBDSSs).**

2. Development of the DFMS Framework

GBDSS for a guideline

GBDSS for a guideline

➢ To guide the physicians during the application of a guideline in a very specific way in order to help in their decision making.

➢ **Traceability**: To automatically record the history of the application of the guideline to patients.

Can be used:

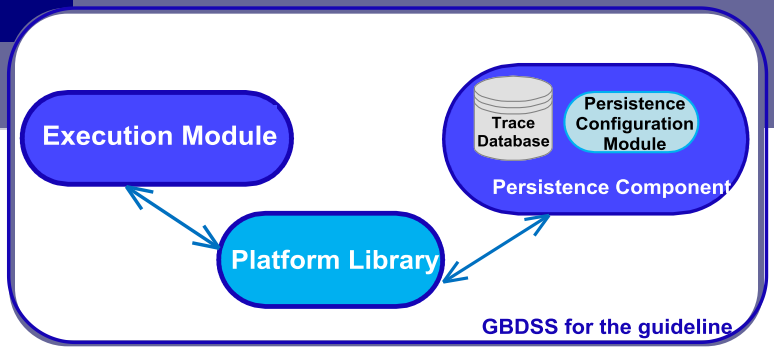
• as **key evidence** in a **medical negligence case**.

• as **a reference** in **future encounters** with the patient.

• as a **resource** for **ongoing changes** in the definition of guidelines over time.

2. Development of the DFMS Framework

GBDSS for a guideline



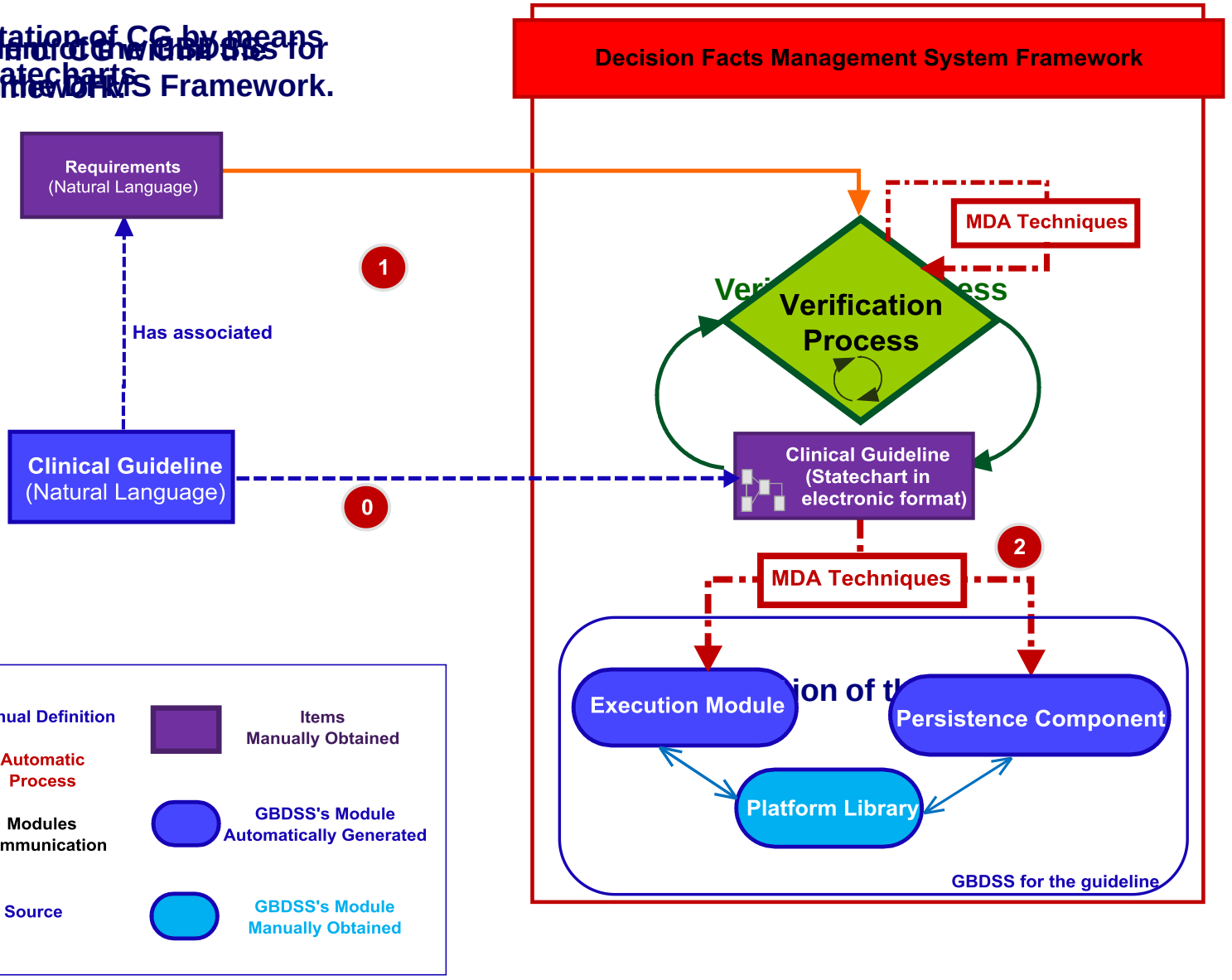
- **Execution module**: corresponds to the Java implementation of the statechart.
- **Persistence component**: constitutes the main module which guarantees the persistence of the guideline application.
  - **Persistence configuration component**: defined to achieve the persistent task.
  - **Trace database**: physically stores the data generated during the guideline application and whose instances come from the running of the execution module.
- **Platform library**: provides standard services related to the implementation of the presentation and the data layers, serving as “glue” between the execution module and the persistence component.

2. Development of the DFMS Framework

Decision Facts Management System

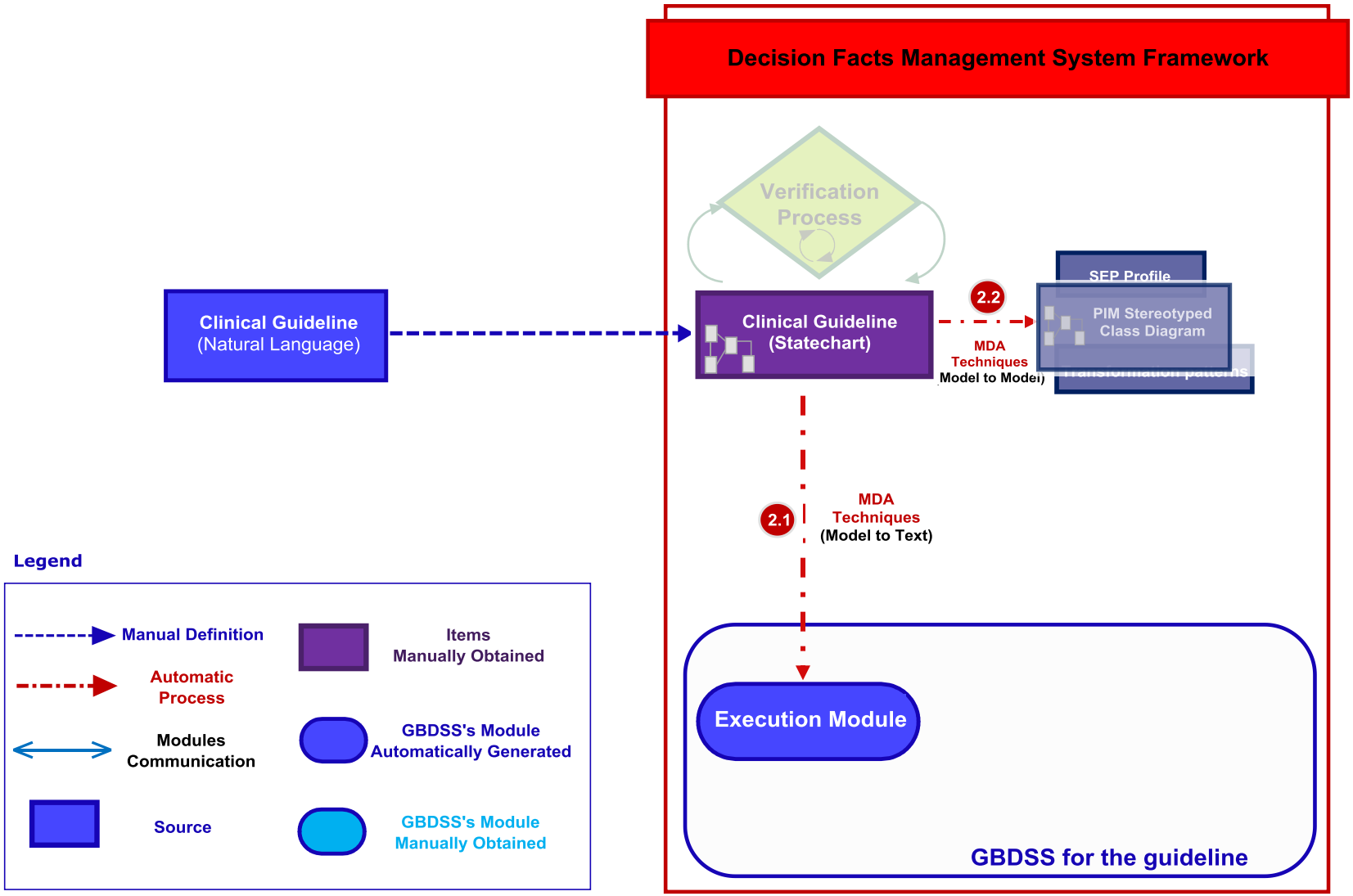
2 Representation of CG by means of UML Statecharts

Verification of the CG for DFMS Framework.



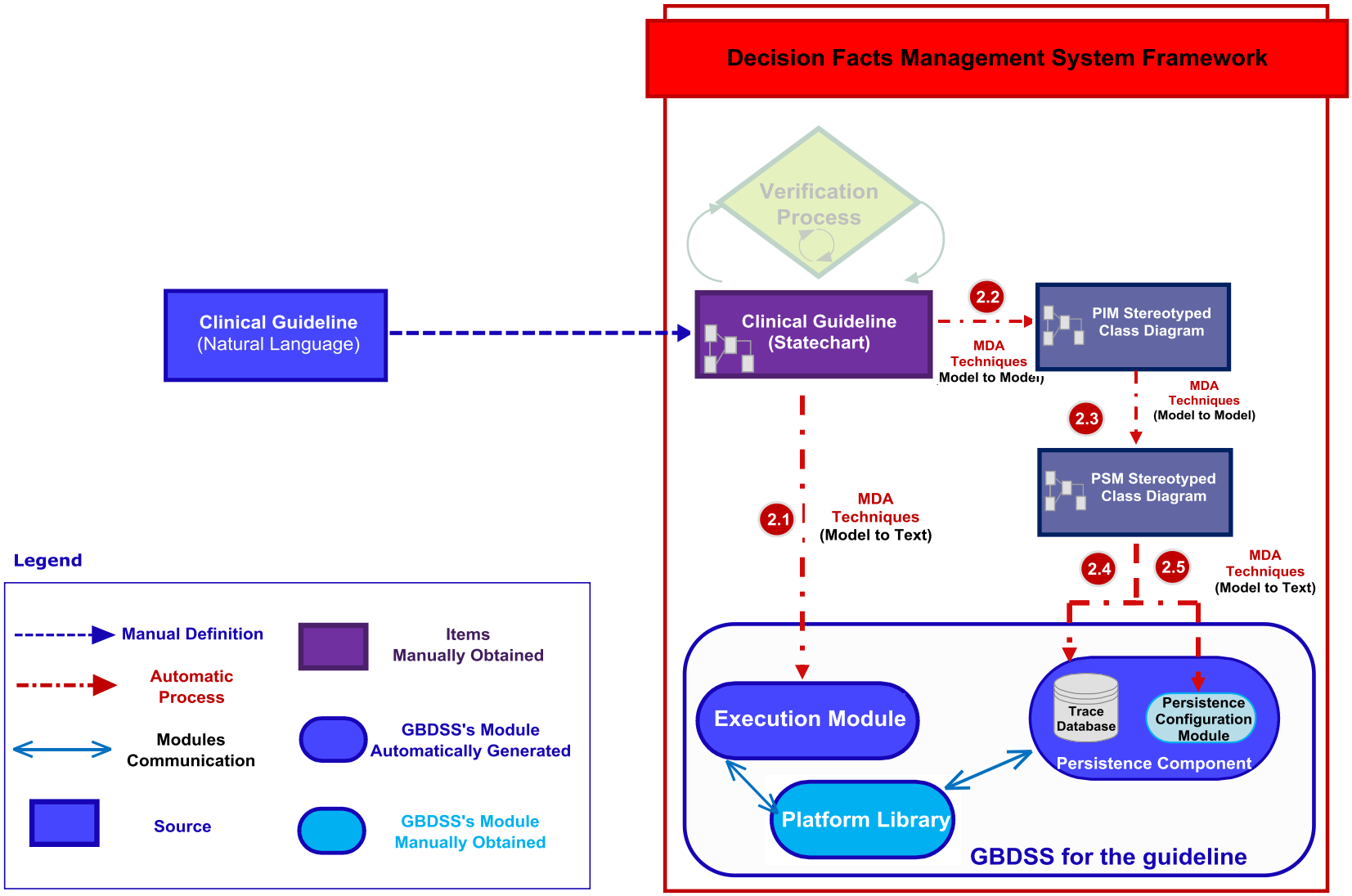
2. Development of the DFMS Framework

Decision Facts Management System



2. Development of the DFMS Framework

Decision Facts Management System



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Definition

Since UML 2.x does not provide a definition strategy for profiles, but simply presents the *UML Extensibility Mechanism* package with its components…

- **we have based on a mixture of:**

  (1) The proposal given by Conallen [**Cona00**], which defines UML profiles starting with a brief description and then specifying its stereotypes, tagged values and constraints, and

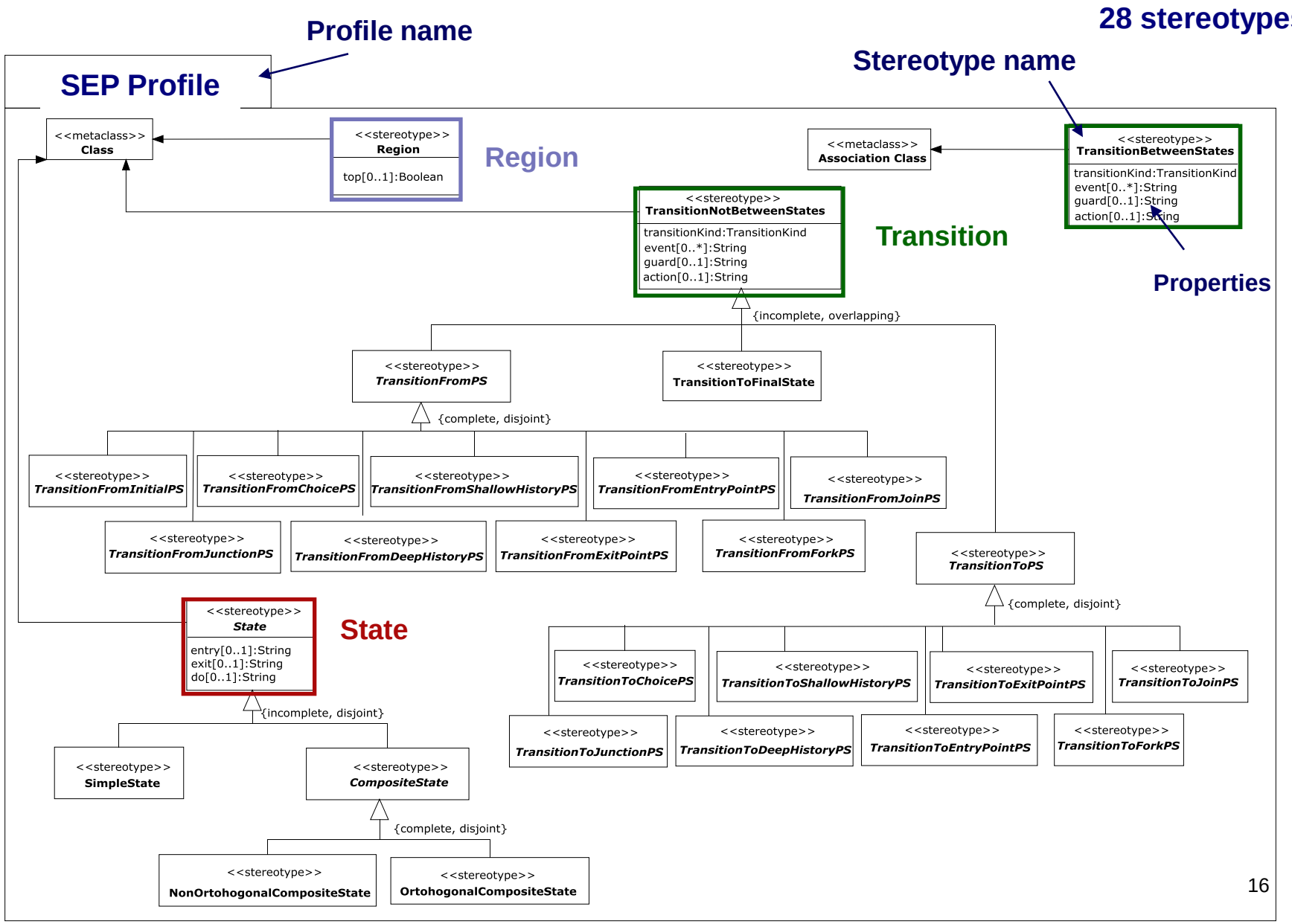
  (2) the proposal given by Gogolla and Henderson [**GoHe02**], which describes stereotypes using a complete template definition.

- **Additionally:**

  - We have extended [**GoHe02**] so that our profile conforms to the **UML 2.4 metamodel**.
  - the correct definition and use of our extension mechanism is assured by the **definition of the stereotypes' constraints** in both **natural language** and **OCL**.

3. SEP Profile

Definition. Stereotypes



3. SEP Profile

Definition. Stereotypes

Name

Base Class

Description

Tagged Values or Attributes

Constraints

Name	*State*
Base class	
InfrastructureLibrary::Core::Constructs::Class	
Description	
This stereotype is defined as an abstract stereotype and it is the base for all the concrete stereotypes representing states in the statechart. It has two direct derived stereotypes: SimpleState and CompositeState.	
Attributes	
The State stereotype has three properties: (1) *entry* [0..1]: String, which refers to the optional behavior that is executed whenever the state, from which the stereotyped class comes, is entered (2) *exit* [0..1]:String, which specifies the optional behavior that is executed whenever the state is exited, (3) *do* [0..1]:String, that refers to the optional behavior that is executed while being in the state.	
Constraints	

```
1  Context InfrastructureLibrary::Core::Constructs::Class
2  inv: self. isStereotyped ("State") implies
3    let generalizationClass:
4        self.generalization.general and
5    let associatedClasses:
6        self.ownedAttribute.association.memberEnd.class → asSet() → excluding(self) in

7    generalizationClass → forAll(e| e.oclIsKindOf(Class) and e.isStereotyped("Region"))
8    generalizationClass → size()=1
9    associatedClasses → forAll(c: Class | (c.isStereoKinded("TransitionNotBetweenStates")
10                                        or c.isStereotyped ("TransitionBetweenStates")
11                                        or c.isStereotyped ("Region") )
12                                        and not (c.isStereoKinded("State"))
```

3. SEP Profile

Definition. Stereotypedness rules

Name	*State*
Base class	
InfrastructureLibrary::Core::Constructs::Class	
Description	
This stereotype is defined as an abstract stereotype and it is the base for all the concrete stereotypes representing states in the statechart. It has two direct derived stereotypes: SimpleState and CompositeState.	
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Constraints	

```
1  Context InfrastructureLibrary::Core::Constructs::Class
2  inv: self. isStereotyped ("State") implies
3    let generalizationClass:
4        self.generalization.general and
5    let associatedClasses:
6        self.ownedAttribute.association.memberEnd.class → asSet() → excluding(self) in

7    generalizationClass → forAll(e| e.oclIsKindOf(Class) and e.isStereotyped("Region"))
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10                                        or c.isStereotyped ("TransitionBetweenStates")
11                                        or c.isStereotyped ("Region") )
12                                        and not (c.isStereoKinded("State"))
```

OCL Operators

3. SEP Profile

Definition. OCL-Operations well-formedness rules

allStereotypes

This operation returns a set containing the Stereotypes of the UML Element to which the operator is applied and all the Stereotypes inherited by such stereotypes.

```
Context Element:: allStereotypes:Set(Stereotype);
post: allStereotypes = self.extension.ownedEnd.type -> asSet() ->
                union (self.extension.ownedEnd.type.generalization.general.allStereotypes)
```

isStereokinded

This operation determines whether the UML Element to which the operator is applied, has a stereotype whose name is equal to the input name or, if it has a stereotype, one of whose ancestors' name is equal to the input name.

```
Context Element::isStereokinded (StereotypeName:String): Boolean;
post: result=self.allStereotypes -> exists (s: Stereotype | s.name= StereotypeName)
```

isStereotyped

This operation determines whether the UML Element to which the operator is applied has a stereotype whose name is equal to the input name.

```
Context Element::isStereotyped(StereotypeName:String): Boolean;
post: result = self.extension -> exists (e:Extension | e.ownedEnd.type.name = StereotypeName)
```

obtStereotype

This operation returns the UML Stereotype Element applied to the context element and whose name is equal to the input name.

```
Context Element:: obtStereotype(StereotypeName:String): Stereotype;
post: result = self.extension.ownedEnd.type -> asSet() ->
                                        select (s:Stereotype | s. name = StereotypeName)
```

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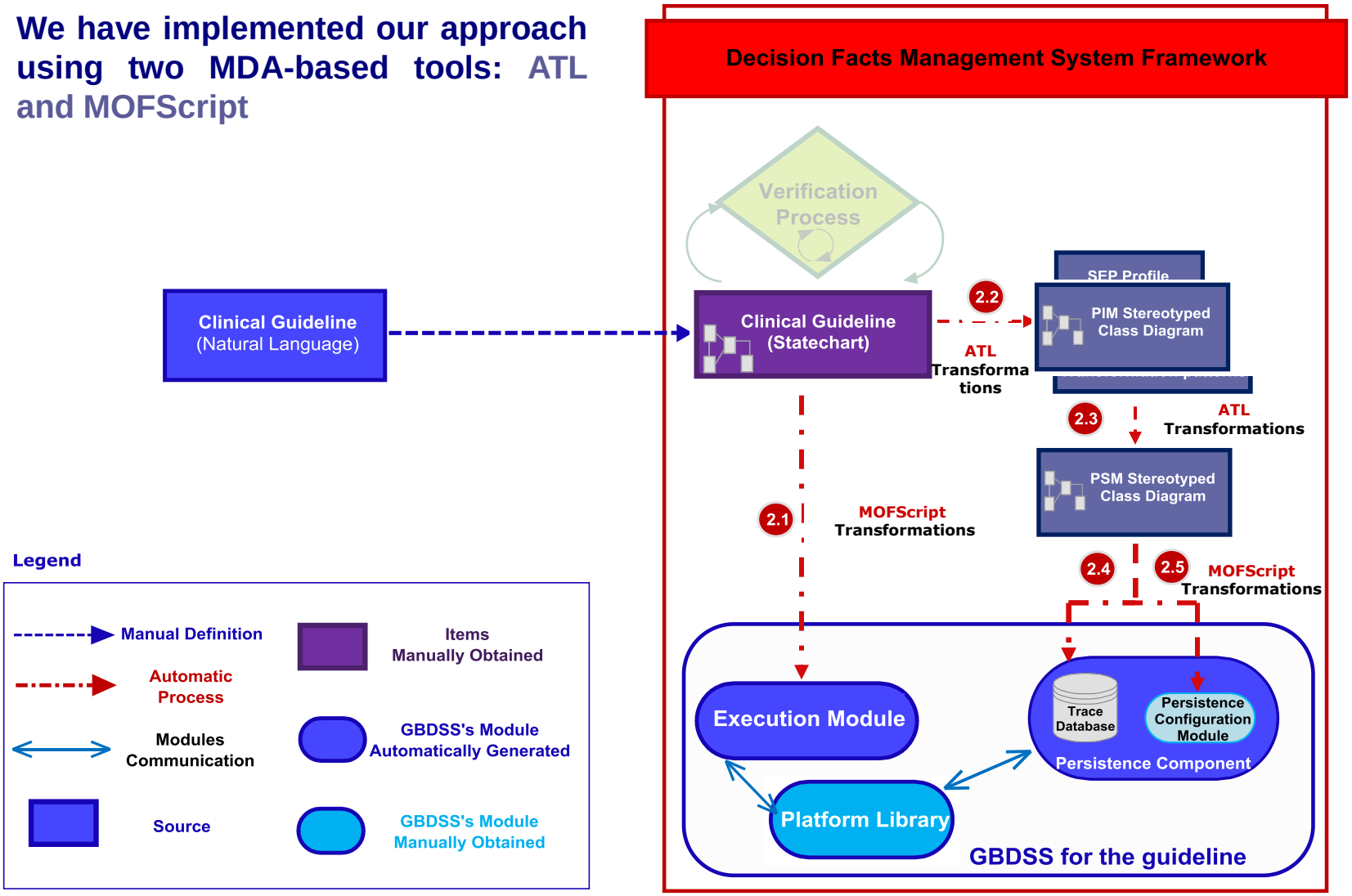
4. Application and Contributions of our Approach

- **The DFMS framework has been implemented as an Eclipse plug-in resulting in a first prototype.**
  - **We have implemented our model-to-model and model-to-text transformations using two MDD-based Eclipse plug-ins (the ATL M2M transformation tool and the MOFScript M2T transformation tool), which have been integrated into the developed plug-in.**

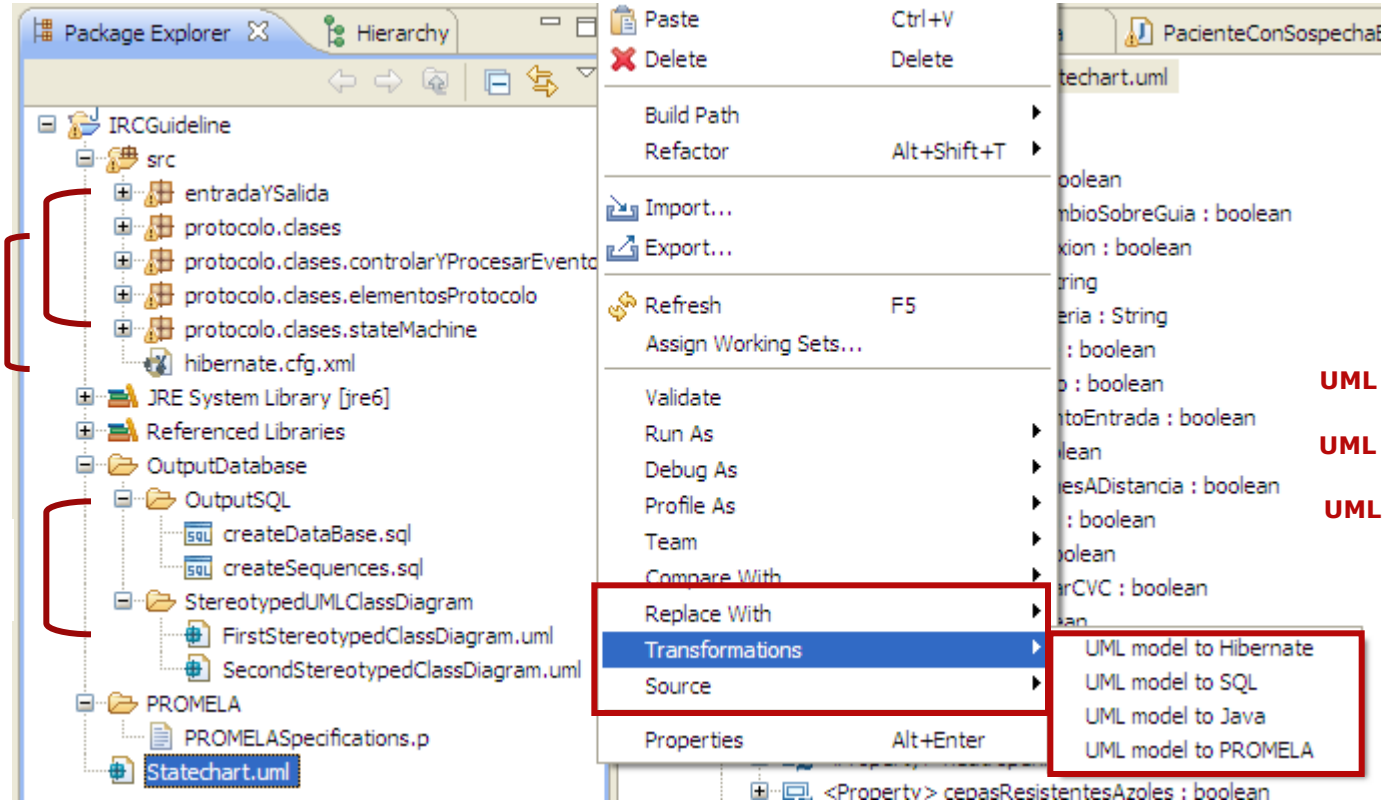
Development of the DFMS Framework

We have implemented our approach using two MDA-based tools: ATL and MOFScript



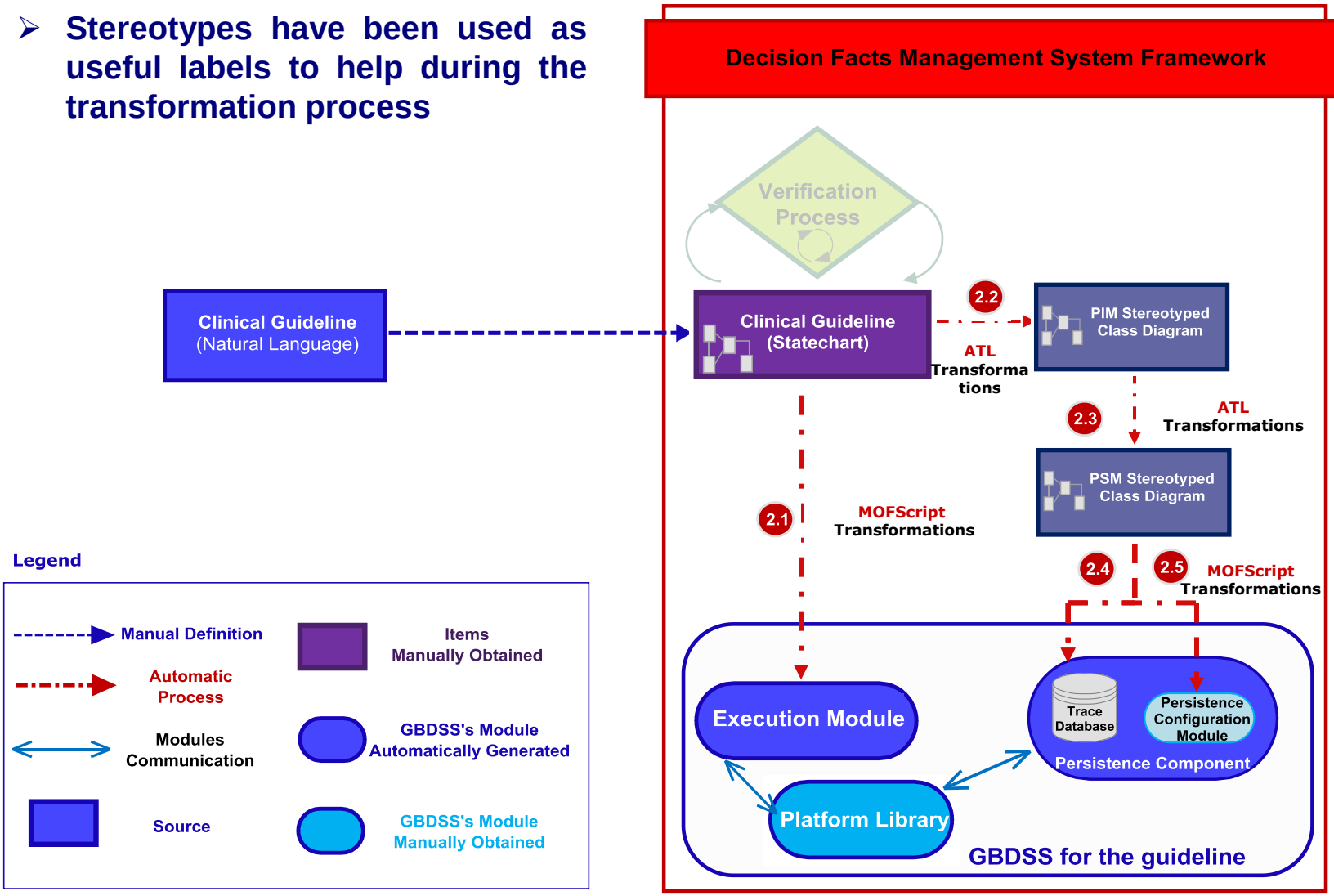
4. Application and Contributions of our Approach

Development of the DFMS Framework



Advantages of the SEP Profile

- **Stereotypes have been used as useful labels to help during the transformation process**



Advantages of the SEP Profile

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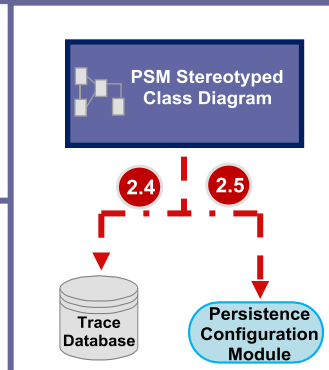


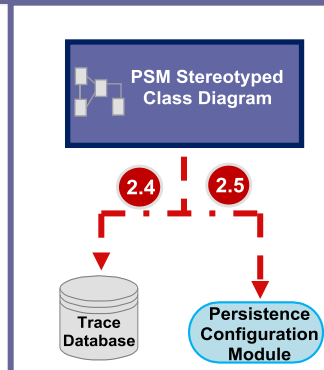
MOFScript rule

```
1  uml.Property::attributeToColumn(c:object){
2    //Declaration of variables...
3     //Create a column for primary keys
4    if(self.name.startsWith('ID')){
5      print('       '+self.name+' ')
6      self.primitiveTypeToSQLType()
7      println( ' not null,')
8      print('       constraint PK_'+c.reduceName()+' primary key ('+self.name+')')
9      aux.add(''+self.name+'')
10     indexConsUnique.put(c, aux)
11   }
12   //Create columns related to association properties in the class diagram wich will be foreign keys
13   else if (self.association !=null){
14     self.association.memberEnd -> forEach(pp:uml.Property| pp.name != self.name){
15        theOtherRoleProperty=pp
16     }
17     //Particular case 1: Association properties which come from the
18                                  //composite properties in composite states
19   if(c.hasStereotype("NonOrthogonalCompositeState") or c.hasStereotype("OrthogonalCompositeState")
20                                                  and self.name.startsWith("roleLastStateInRegion")){
21     if(self.type.hasStereotype("Region") and self.name.startsWith("roleLastState")){
22        foreignKey= self.type.obtainPrimaryKey()
23        print('       '+foreignKey.name+'LastS  ')
24        foreignKey.primitiveTypeToSQLType()
25        println(' null, ')
26        //Gather information in collections
27        //Variable used in createContraintsInCreateTable rule for defining Uniqueness constraints
28        //for foreign keys (FKs) in 'Create table'
29        if(forContraintsInCreateTable.get(c)==null){
30           aux2.add(self)
31           forConstraintsInCreateTable.put(c, aux2)
32        }
33        else{
34           forConstraintsInCreateTable.get(c).add(self)
35        }
36        //Variable used in FKeyConstraints rule for creating FKs in 'Alter table' instructions
37        if(forForeignKeys.get(c)==null){
```

Depending on the applied stereotypes, different text code is generated

Advantages of the SEP Profile

- **Specific stereotypes are transformed into concrete SQL statements in the data base (foreign keys and triggers).**




```
texttransformation Constraints (in uml:"http://www.eclipse.org/uml2/2.1.0/UML") {
 module::FKeyRestrictions(forForeignKeys: hashtable, forDeferrableInitDeferred: hashtable){
    var nombreTabla1
    var nombreTabla2
    var jerarquia: b
    forForeignKeys.k
        if(c.name.eq
            nombreTa
        else {
            nombreTa
        forForeignKe
          if((c.hasS
            c.hasSte
            c.hasSte
            jerarqui
          }
          println('a
          if(s.name.
            println(
          }
          else{
            if(jerar
              printl
```

```
-- Constraints Section
-- _____________________
    alter table AbsPacienteAplicandoProtocoloBRCS2R1State add constraint Ab
        foreign key (numHistoriaClinica)
        references Paciente
        on delete cascade deferrable initially deferred;

    alter table AbsPacienteAplicandoProtocoloBRCS2R1State add constraint Ab
        foreign key (IDEpapbrcs2s)
        references PacienteAplicandoProtocoloBRCS2State
        on delete cascade deferrable initially deferred;

    alter table AbsPacienteAplicandoProtocoloBRCS2R1State add constraint Ab
        foreign key (IDEpciss4s)
        references PacienteConInfeccionSeguraS4State
        on delete cascade deferrable initially deferred;

    alter table AbsPacienteAplicandoProtocoloBRCS2R1State add constraint Ab
        foreign key (IDEpcsbrcs3s)
        references PacienteConSospechaBRCS3State
        on delete cascade deferrable initially deferred;

    alter table t19 add constraint t1927FK
        foreign key (IDT15)
        references t15;
```

eating foreign keys using `alter table` statements

Generated constraints

Advantages of the SEP Profile

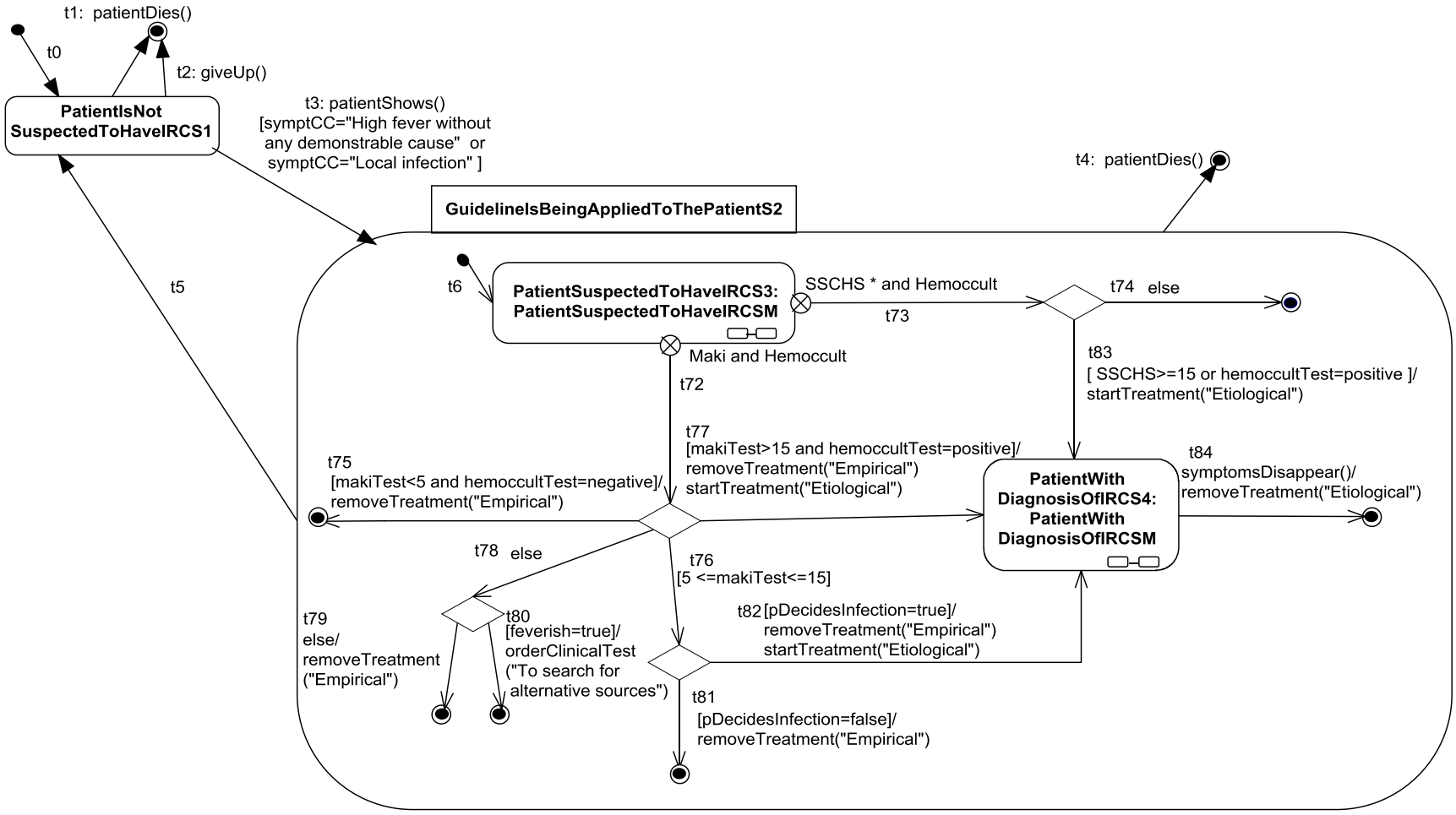
- **Ensures accuracy and consistency of data**
  - Specific semantics of the stereotypes have been implemented as concrete constraints and triggers in the trace database.
- **Guides the transformation process**
  - We have defined the M2M and the M2T transformations (from the PIM to the persistence component) in such a way that they use the stereotype names as useful marks to help during the transformation process.
- **Eases audit and process improvement**
  - By means of the semantics provided by the stereotypes of the SEP profile, we obtain a more complete trace of the system, represented in the persistence structures of the trace database.
  - Such a trace constitutes a meaningful source of the information generated during the application of the guideline to the patient, easing enhanced auditing processes.

Case Study. IRC Guideline

IRC Guideline

- It is used in a Spanish hospital and has been developed on the basis of a guideline published by the US Agency for Health Care Research and Quality (AHRQ) National Guideline Clearing House (NGC).
- It has been defined mainly for diagnosis and prevention.
- Representation: text document of 10 pages, written in natural language with tables and flowcharts.

Statechart Representation

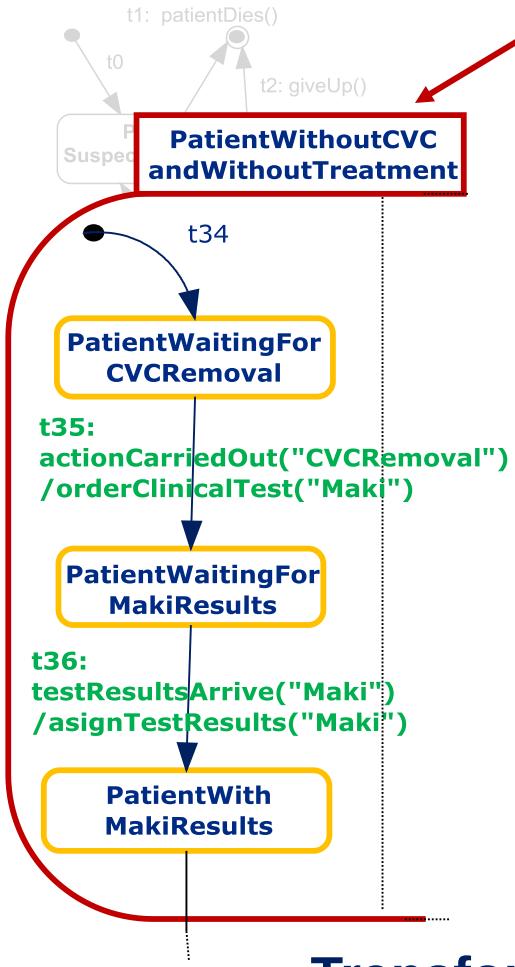


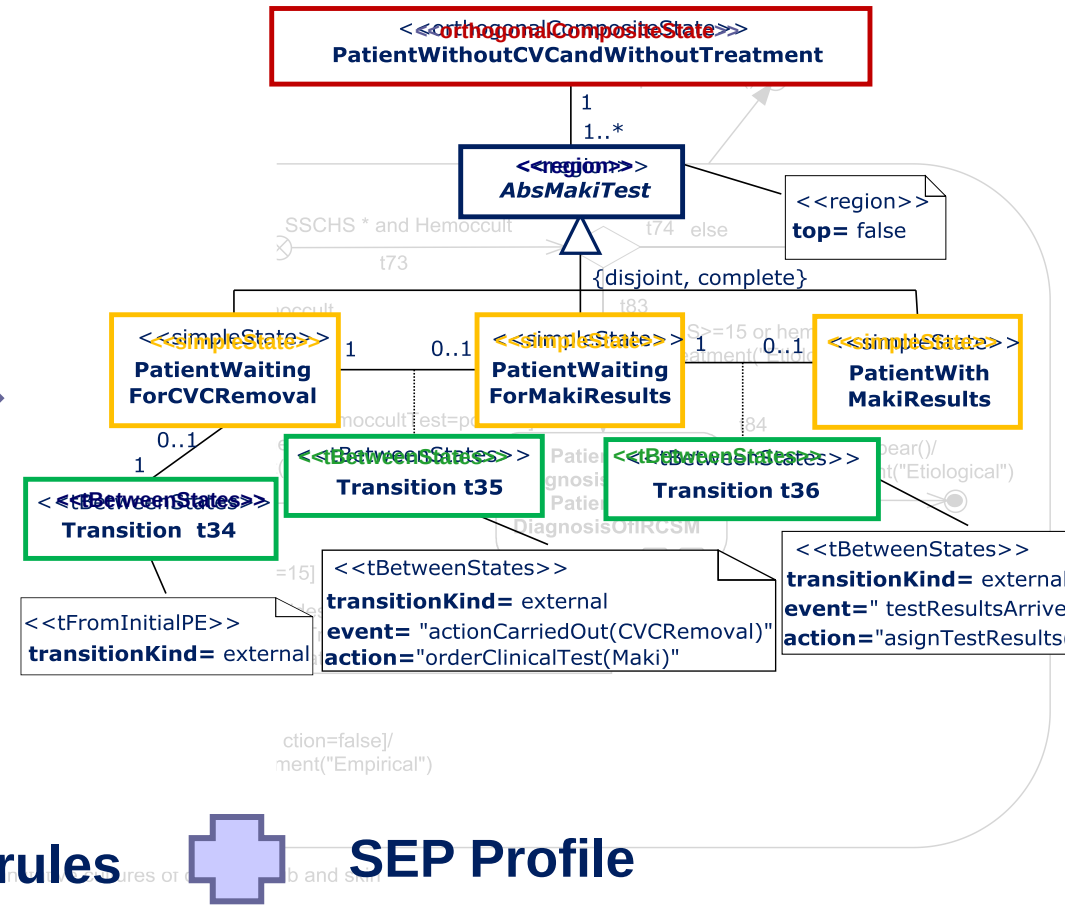
* SSCHS: Study of semicuantitative cultures of catheter hub and skin

IRC Guideline

2.2 From the Statechart to the PIM Stereotyped Class Diagram

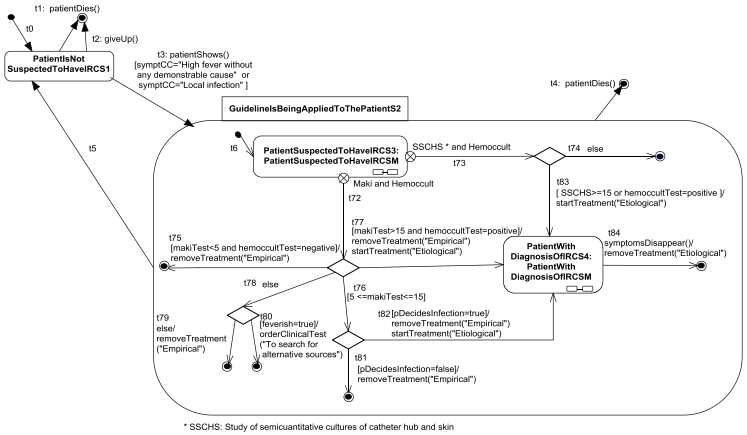
Orthogonal state

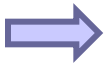


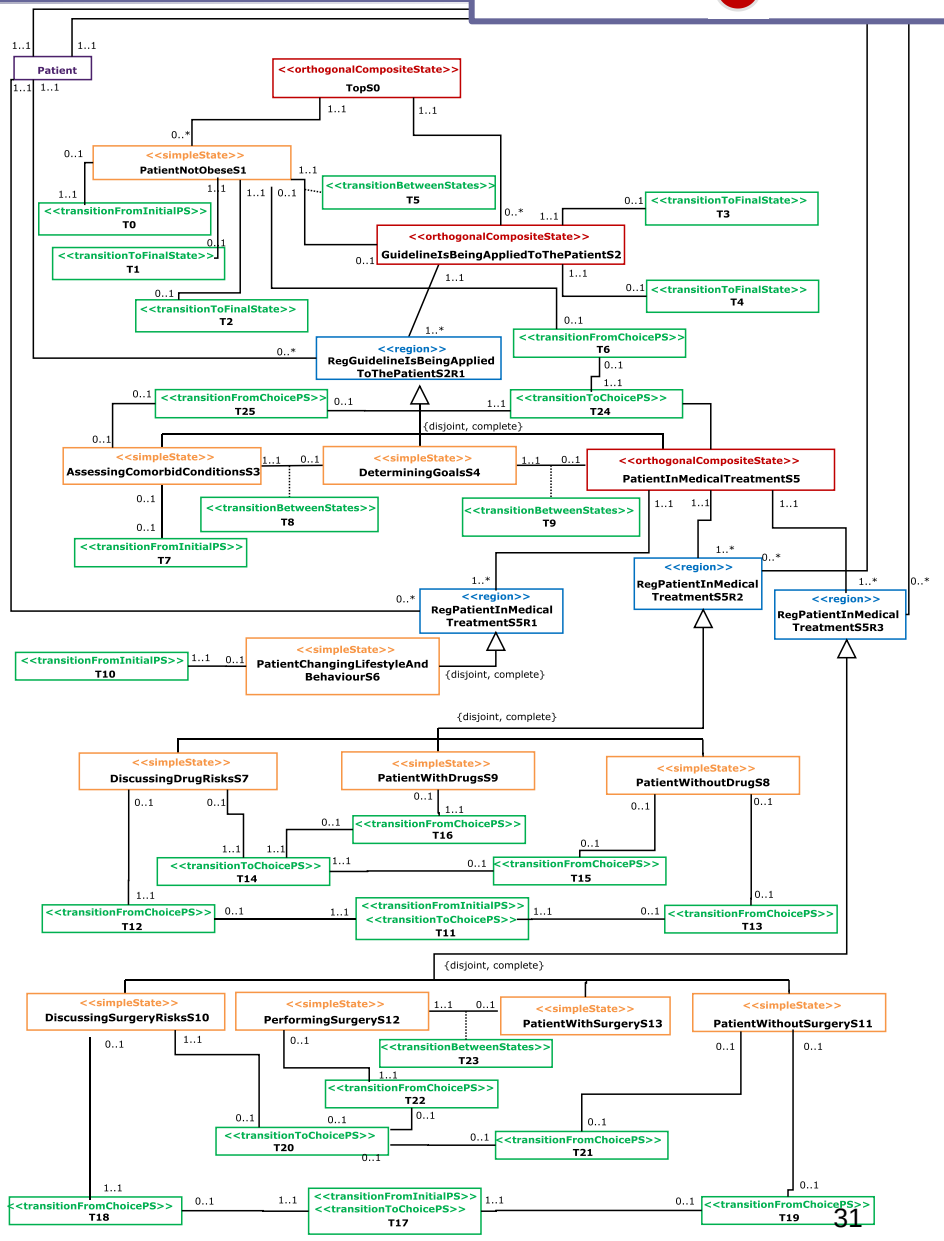


Transformation rules + **SEP Profile**

2.2 From the Statechart to the PIM Stereotyped Class Diagram

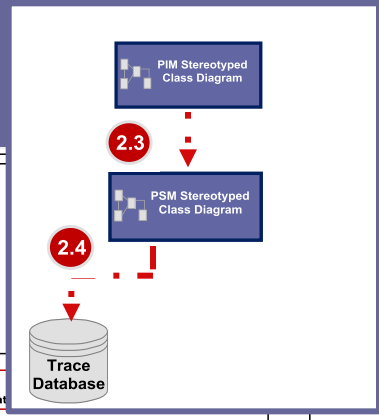




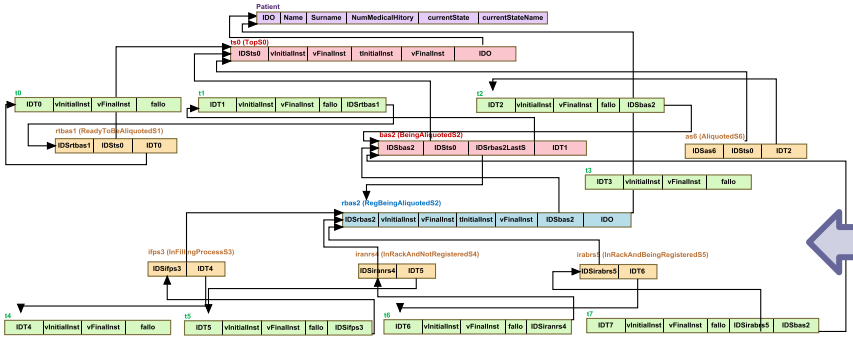


4. Application and Contributions of our Approach

2.3 2.2 From the Statechart to the PIM Stereotyped Class Diagram

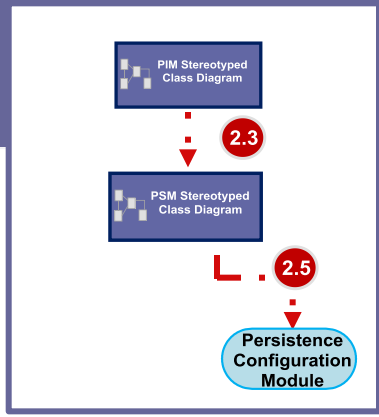


The trace database comprises 152 tables.

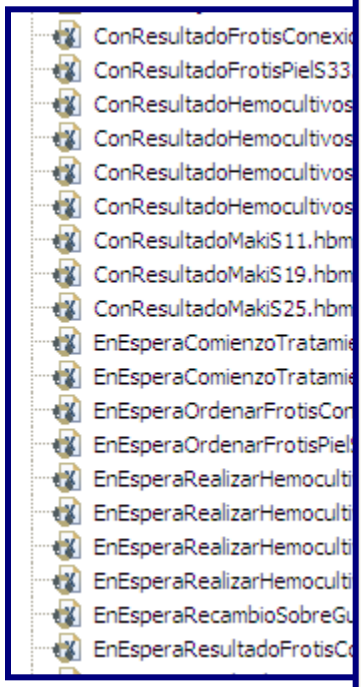


4. Application and Contributions of our Approach

2.3 2.5 From the PIM Stereotyped Class Diagram to the Persistence C. M.



The persistence configuration module comprises 151 mapping files, together with the `hibernate.cfg.xml` configuration file.




```
<?xml version="1.0" encoding="ISO-8859-1"?><!DOCTYPE hibernate-mapping PUBLIC "-//Hibernate/Hibernate Mapping
<!-- Generated  12/05/2013 by Bea -->
<!-- ********************************************-->

<hibernate-mapping default-access="field">

  <class name="protocolo.clases.stateMachine.ConResultadoFrotisConexionS36" table="crfcs36">
<!-- Identifier Mapping Section-->
<!-- _____-->

   <id name="id" type="long">
         <column name="IDScrfcs36" />
         <generator class="foreign">
           <param name="property">parent</param>
         </generator>
      </id>
   <!-- Associations Mapping Section-->
<!-- _____-->

<!-- Asociación 1-1 (0..1-1..1/1..1-0..1) Clase actual sin clave foránea-->
    <one-to-one name="t69crfcs36Asso" entity-name="t69" property-ref="t69crfcs36" cascade="none" />
   <!-- Asociación 1-1- From a hierarchy Generalization -->
  <one-to-one  name="parent"  entity-name="RegPacienteConConexionS8R2" cascade="none" />

   <!-- Asociación 1-1 (0..1-1..1/1..1-0..1) Clase actual con clave foránea-->
    <many-to-one name="crfcs36t64" column="IDT64"
              entity-name="t64" unique="true" not-null="false" cascade="none"/>

   </class>

</hibernate-mapping> <!-- // End of entity ConResultadoFrotisConexionS36-->
```

4. Application and Contributions of our Approach

- **The DFMS framework has been implemented as an Eclipse plug-in resulting in a first prototype.**
  - **We have implemented our model-to-model and model-to-text transformations using two MDD-based Eclipse plug-ins (the ATL M2M transformation tool and the MOFScript M2T transformation tool), which have been integrated into the developed plug-in.**

- **The DFMS framework has been satisfactorily applied to several real-life guidelines used in different contexts within the medical care system, obtaining different GBDSSs working prototypes.**
  - **The guidelines used as case studies are:**
    - **IRC Guideline,**
    - **OPC Guideline: a clinical guideline for the management of obesity in primary care,**
    - **AP Guideline: a laboratory guideline to carry out the aliquoting process.**

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Conclusions

- **We have given an overview of the definition and development of out DFMS Framework.**

- **We have presented the definition of the SEP profile:**

  - It contributes to **ease audit** and **process improvement.**

  - The implementation of the semantics of its stereotypes helps to **ensure accuracy** and **consistency of the data** stored in the database.

  - Stereotype names have been used as **useful marks** to help during the **transformation process**.

Future work

- To apply our approach to other frameworks in which statecharts are also used to represent the system behaviour.
- To apply our approach to other dynamic modeling languages that may be used to represent guidelines.
- To use our system trace proposal with a specific standard or best practice guide for information technology.

A UML Profile for Dynamic Execution Persistence with Monitoring Purposes

Questions?

MiSE'13

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